Virtual AskQC Office Hours

Reading LC Authority Records

OCLC Metadata Quality
January 2024
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After the session, you will be directed to a brief, optional survey
On the Call Today

Cynthia Whitacre
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Reading LC Authority Records

Kate James
Program Coordinator, Metadata Engagement
Today We Will Cover

• Introduction
• See Also References (5XX)
• Class and Call Numbers
• 667 Fields
• 3XX Fields
• Conclusion
Authority Files in WorldCat

• OCLC has a copy of the agency’s authority file
• Library of Congress authority records are supported in Connexion Client and Record Manager (RM)
  – “LC Names and Subjects” in Connexion
  – “LC” in Record Manager
• Authority records from many sources are supported in Record Manager only, including
  – Getty Art & Architecture Thesaurus (AAT)
  – Biblioteca Nacional de España (BNE)
  – Medical Subject Headings (MeSH)
LC Authority Records in Connexion and RM

Subfield ǂw displays first

Subfield $w displays last
Library of Congress Authority Files

- LC/NACO Authority File (LC NAF)
- Library of Congress Subject Headings (LCSH)
- Library of Congress Genre/Form Terms (LCGFT)
- Library of Congress Children’s and Young Adults’ Cataloging Subject Headings (CYAC)
- Library of Congress Demographic Group Terms (LCDGT)
- Library of Congress Medium of Performance Terms for Music (LCMPT)
- Other vocabularies such as the Ethnographic Thesaurus are also maintained by the Library of Congress

✓ Indicates headings are controllable in Connexion Client and Record Manager
LC NACO Authority File

• Also known as “LC NAF”
• Members of the PCC’s Name Authority Cooperative (NACO) program create and update authority records
• Over 10 million authority records
• Contains
  – Name Authority Records (NARs)
    • includes name/title and uniform title records
  – Series Authority Records (SARs)
• Prefixes for LCCNs are \textit{n}, \textit{nb}, \textit{no}, \textit{nr}, and \textit{ns}, e.g., \textit{n 79018119}
Library of Congress Subject Headings

• Also known as “LCSH”
• Members of the PCC’s Subject Authority Cooperative (SACO) program submit proposals to add, update, and cancel authority records
• Approved proposals are added to LCSH by LC staff
• Over 380,000 authority records
• Prefix for LCCN is sh, e.g., sh 85140602
Library of Congress Genre/Form Terms

• Also known as LCGFT
• Over 2,500 authority records
• Process to add and update authority records is the same as for LCSH
• Authority records are similar to LCSH except only X55 fields are used for headings and references and there are no subdivisions
• Prefix for LCCN is gf, e.g., gf2014026133
Library of Congress Children’s and Young Adults’ Cataloging Subject Headings

• Also known as CYAC; formerly known as LC Children’s Subject Headings
• Over 12,000 authority records
• Process to add and update authority records is the same as for LCSH
• Available to search in WorldCat but headings are not controllable
• May contain terms identical to LCSH
• May contain headings that look like those in name authority records
  – Example: 100 1 Hugo, Victor, †d 1802-1885. †t Hunchback of Notre Dame [sj2012050001]
• Prefix for LCCN is sj, e.g., sj 96004887
Caveats about Presentation

• This webinar does not discuss how to create or use access points
• Many fields are not discussed because of time limitations
• Examples do not show all fields in bibliographic or authority records
• There is often room for cataloger judgment about what to record and where
SEE ALSO REFERENCES (5XX)
5XX See Also From Tracings

• 500, 510, 511, 530, 547, 550, 551, 555, 562, 580, 581, 582, 585

• Used in name, series, subject, and genre/form authority records

• Provides a link from one established heading to another
  – Heading in the 5XX must be established
  – May be reciprocal (on both authority records) or just on one

• Subfield ǂw indicates relationship information with a code or code plus ǂi [term]

• May be a “simple see also reference” (no ǂw)
Purposes of 5XXs

• Provide a reference to a different heading that might be used depending on the resource

• Gives information about the entity in the authority record

• Shows hierarchy of subject term or genre/form term
Using 500 Fields for Multiple Identities

- Multiple identities: when a person uses different names to associate with different resources
- Two names used in resources=relate NARs with 500 fields
- More than two names used in resources=relate NARs with 663 and 500 fields
- When there are only two names, ≠w r ≠i with a relationship designator may be used
- Names not used in resources are recorded in field 400 as variant names
500 Example: Kim Harrison and Dawn Cook

053 0 PS3608.A78355

100 1 Harrison, Kim, ✧d 1966-

500 1 Cook, Dawn

670 Wikipedia, via WWW, Apr. 9, 2012 ✧b (Kim Harrison is a pseudonym used by American author Dawn Cook; b. 1966 in the Midwest; writes urban fantasy/science fiction)

053 0 PS3608.A78355

100 1 Cook, Dawn

500 1 Harrison, Kim, ✧d 1966-

670 Wikipedia, WWW, May 16, 2011 ✧b (Dawn Cook also writes under the nom de plume of Kim Harrison; born 1966; American author)
### 663 and 500 Example: Nora Roberts

<table>
<thead>
<tr>
<th>053</th>
<th>0</th>
<th>PS3568.O243</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>1</td>
<td>Roberts, Nora</td>
</tr>
<tr>
<td>400</td>
<td>1</td>
<td>Robertson, Eleanor</td>
</tr>
<tr>
<td>400</td>
<td>1</td>
<td>Wilder, Eleanor</td>
</tr>
<tr>
<td>400</td>
<td>1</td>
<td>Aufdem-Brinke, Eleanor</td>
</tr>
<tr>
<td>500</td>
<td>1</td>
<td>Nob nnncc Nob Hardesty, Sarah, Nob d 1950-</td>
</tr>
<tr>
<td>500</td>
<td>1</td>
<td>Nob nnncc Nob Robb, J. D., Nob d 1950-</td>
</tr>
<tr>
<td>663</td>
<td></td>
<td>For works of this author entered under other names, search also under: Nob Hardesty, Sarah, 1950- Nob Robb, J. D., 1950-</td>
</tr>
<tr>
<td>670</td>
<td></td>
<td>U.S. copyright files, 8/15/95 Nob (Roberts, Nora, 1950- ; pseud. of Eleanor Aufdem-Brinke; pseud. of Eleanor Robertson; pseud. of Eleanor Wilder)</td>
</tr>
</tbody>
</table>

*Image courtesy of Wikimedia Commons*
663 and 500 Example: J.D. Robb

053 0 PS3568.O243
100 1 Robb, J. D., †d 1950-
500 1 †w nnnn †a Roberts, Nora
663 Works by this author are entered under the name used in the item. For a listing of other names used by this author, search also under: †b Roberts, Nora.

Image courtesy of Internet Speculative Fiction Database

663 note only refers to heading used in authority record that contains all the 500s
663 and 500 Example: Sarah Hardesty

053 0 PS3568.O243
100 1 Hardesty, Sarah, ‡d 1950-
500 1 ‡w nnnn €a Roberts, Nora

663 Works by this author are entered under the name used in the item. For a listing of other names used by this author, search also under: ‡b Roberts, Nora.

663 note only refers to heading used in authority record that contains all the 500s
510/500 Example: Identifying Entities

100 1 Love, Courtney, ‡d 1964-

373 Hole (Musical group) ‡2 naf ‡s 1989 ‡t 2002

510 2 ‡w r ‡i Corporate body: ‡a Hole (Musical group)

110 2 Hole (Musical group)

500 1 ‡w r ‡i Member: ‡a Love, Courtney, ‡d 1964-

Image courtesy of Wikimedia Commons
550 in Subject Authority Records

- Used only in subject authority records
  - LCSH and CYAC
- May provide related term (RT) references (550 without ǂw)
- May provide broader term (BT) references (550 with ǂw g)
- Authority records do not contain 550s for narrower terms
  - Narrower terms (NTs) display in ClassWeb Plus
550 Example: Broader Term

Authority Record in Connexion:

| 010 | sh 90001233 |
| 040 | DLC ≠c DLC |
| 053 | GV1250 ≠b GV1250.2 |
| 150 | Poker players |
| 450 | Players, Poker |
| 550 | ꝑw ꝑg ꝑa Card players |

Authority Record in ClassWeb Plus:

Poker players (May Subd Geog) [GV1250-1250.2]  
UF Players, Poker  
BT Card players  
NT Women poker players

NTs are a display feature

Authority Record in ClassWeb Plus (MARC):

| 010 | $a sh 90001233 |
| 035 | $a (DLC) $h 90001233 |
| 035 | $a (DLC) 177524 |
| 040 | $a DLC $c DLC |
| 053 | 0 $a GV1250 $b GV1250.2 |
| 150 | $a Poker players |
| 450 | $a Players, Poker |
| 550 | $w $g $a Card players |
CLASS AND CALL NUMBERS

LC1045
W1 #b JO706M
345.73 #2 22
FC18
Definitions

- **call number**: a notation consisting of a classification number, a book number, and additional information that uniquely identifies the resource
- **classification number**: a notation that represents the subject or form of a resource being cataloged, selected from a classification schedule
  - Examples: GV859.5 (Roller disco), HF5549.5.T7 (Training of employees)
  - Also called a *class number*
- **book number**: a notation usually consisting of an alphabet letter and one or more Arabic numerals that that is appended to a class number in order to arrange material on the same subject in a specified order, usually alphabetically by author. It may include a decimal point
  - Also called an *author number*
- **Cutter number**: a notation consisting of an alphabet letter and one or more Arabic numerals. It may include a decimal point
  - Example: “.T7” in “HF5549.5.T7” (Training of employees)

Definitions adapted from CSM
MARC Fields Used

- **Most Commonly Used:**
  - Field 050 Library of Congress Call Number (R)
  - Field 053 LC Classification Number (R)
  - Field 055 Library and Archives Canada Call Number (R)
  - Field 060 National Library of Medicine Call Number (R)
  - Field 065 Other Classification Number (R)

- **Other Fields Include:**
  - 086 Government Document Call Number (R)
050 Library of Congress Call Number

• In **series authority records**, indicates resources in the series are classed together in this number
• Used with 646=c [collected] and 646=m [classed with main series]
• Second indicator provides source
  – 0: Assigned by LC
  – 4: Assigned by agency other than LC (used with ≠5)
• Agencies may choose to use this number or class resources separately in bibliographic records
## 050 Example: Series Authority Record

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Series</td>
<td>a</td>
<td>[monographic series]</td>
</tr>
<tr>
<td>Ser num</td>
<td>a</td>
<td>[numbered series]</td>
</tr>
<tr>
<td>010</td>
<td>no 91014064</td>
<td></td>
</tr>
<tr>
<td>040</td>
<td>DLC-S ‡c DLC-S</td>
<td></td>
</tr>
<tr>
<td>050</td>
<td>0 VM341 ‡b .M9</td>
<td></td>
</tr>
<tr>
<td>130</td>
<td>0 Motor boating's ideal series</td>
<td></td>
</tr>
<tr>
<td>642</td>
<td>v. 41 ‡5 DLC</td>
<td></td>
</tr>
<tr>
<td>646</td>
<td>c ‡5 DLC</td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Series authority record created before June 1, 2006. This record reflects former LC policy on series.
050 Example: Bibliographic Records

Bibliographic Record 1:

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>040</td>
<td>DLC ‡b eng ‡c DLC ‡d XXX</td>
</tr>
<tr>
<td>050 0 0</td>
<td>VM341 ‡b .M9 vol. 41 1967</td>
</tr>
<tr>
<td>245 1 4</td>
<td>The plywood boatbuilder</td>
</tr>
<tr>
<td>830 0</td>
<td>Motor boating's ideal series; ‡v v. 41</td>
</tr>
</tbody>
</table>

Bibliographic Record 2:

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>040</td>
<td>DLC ‡b eng ‡c DLC ‡d XXX</td>
</tr>
<tr>
<td>050 0 0</td>
<td>VM341 ‡b .M9 vol. 6 1924</td>
</tr>
<tr>
<td>050 1 4</td>
<td>VK959 ‡b .H67 1924</td>
</tr>
<tr>
<td>245 1 0</td>
<td>Where to cruise: ‡b charts for motor boatmen</td>
</tr>
<tr>
<td>830 0</td>
<td>Motor boating's ideal series; ‡v v. 6</td>
</tr>
</tbody>
</table>
053 LC Classification Number (R)

- Used in name authority records for persons and corporate bodies
- Used in subject authority records
- Single LC classification number or span associated with a 1XX heading
- Second indicator provides source in name authority records

**Subfields:**

- ‡a Classification number element-single number or beginning number of span (NR)
- ‡b Classification number element-ending number of span (NR)
- ‡c Explanatory note (NR)
- ‡0 Authority record control number or standard number (R)
- ‡1 Real World Object URI (R)
- ‡5 Institution to which field applies (R)
- ‡6 Linkage (NR)
- ‡8 Field link and sequence number (R)
053 in Name Authority Records

• Used for literary authors (P schedules) and biography numbers in span ML410-429
• A NAR may not contain all P schedule numbers used for a literary author
• See Classification and Shelflisting Manual (CSM), F 623 Literary Authors (note: policy on PZ is out of date)
• See DCM Z1, 053 page (shows current PZ policy)
Example: 053 Literary Author

Authority Record:

010 n 79032879
040 ... ǂb eng ǂe rda ...
053 0 PR4030 ǂb PR4038
100 1 Austen, Jane, ǂd 1775-1817

Bibliographic Record:

050 0 0 PR4034 ǂb .P7 1907
100 1 Austen, Jane, ǂd 1775-1817
245 1 0 Pride & prejudice / ǂc by Jane Austen ; with twenty-four coloured illustrations by C.E. Brock
Virtual AskQC Office Hours: Reading LC Authority Records

PR4030-4038

Austen, Jane

Table P-PZ33

Collected works  
By date
By editor, if given

Selected works. Selections
Subarrange by editor, if given, or date

Translations (Collected or selected)

PR4033 A2-A2 Z

Modern versions of early authors in the same language. By translator, if given, or date

Other. By language, A-Z
Subarrange by translator, if given, or date

PR4034 A-Z

Separate works. By title, A-Z
Subarrange each title by Table P-PZ43

PR4035

Apocryphal, spurious works, etc. (Collections only)
Illustrations
Class at N8215, or with the special artists in NC-NE as the case may be
Class illustrated editions with other editions
Class portraits, etc., of the author, with his or her biography

Biography, etc.

Periodicals. Societies. Serials
Dictionaries, indexes, etc.

Autobiography, journals, memoirs. By title
Letters (Collections). By date
Letters to and from particular individuals. By correspondent (alphabetically)

General works

Criticism

General works
Special, A-Z

For list of Cutter numbers not given below, see Table P-PZ32 17
053 in Subject Authority Records

• Added in LCSH authority records in certain situations, including
  – LCC caption is very close to subject heading
  – Topic is mentioned in an “Including” note in the caption
• Authority record may have multiple 053s for different aspects of the subject
• Authority record may not have all appropriate class numbers
• See Subject Headings Manual (SHM), H 365 for more information
Example: Multiple 053s with Explanatory Notes

Authority Record:

010    sh 85038796
040    DLC ‡c DLC ‡d DLC ‡d WaU ‡d DLC
053    0   QL737.C22 ‡c Zoology
053    0   SF421 ‡b SF440.2 ‡c Domestic animals
150    Dogs

Bibliographic Record:

050    0   0   SF426 ‡b .A4463 2014
100    1   Alderton, David, ‡d 1956- ‡e author
245    1   0   Your perfect dog / ‡c David Alderton
650    Dogs

Image courtesy of Kate James
065 in Name Authority Records

- In name authority records, used for Canadian literary authors to record a number in PS8000
- Will have ǂ2 fcps ǂ5 [MARC institution code]
- See DCM Z1, 065 page for more information

Authority Record:

010 n 79063498
040 … ǂb eng ǂe rda …
053 0 PR9199.3.M8
065 PS8576.U57 ǂ2 fcps ǂ5 CaOONL
100 1 Munro, Alice, ǂd 1931-
667 FIELDS
667 Nonpublic General Note (R)

- May be used in name, series, and subject authority records
- Provides general information about a 1XX heading for which a specialized note field has not been defined
- Repeated to provide different units of information
- Although this is a “nonpublic” note, it is often displayed by systems
- See DCM Z1, 667 page for more information
Some Common 667 Notes

• Not same as: [name or title, LCCN]
  – **Example:** Not same as: Turner, Ted, 1938- (n 2012012838)

• This [type of entity] resumed its earlier name …
  – **Example:** This place resumed its earlier name; Leningrad resumed the name Saint Petersburg in 1991

• Some issues have title: [title proper]

• Give as quoted note
  – Used in authority records for series-like phrases
667 Subject Usage Note

• May be used in name and subject authority records
• Used in place name authority records
  – When there are linear name changes
  – For city sections
• Used in corporate body authority records for government officials
• Examples:
  – SUBJECT USAGE: This heading is not valid for use as a subject. Works about this person are entered under Coolidge, Calvin, 1872-1933
  – SUBJECT USAGE: This heading is not valid for use as a geographic subdivision
Subj  n  [not applicable]

Subj  b  [heading not appropriate as subject added entry]

010  n  2015070168

151  Rajneesh (Or.)

551  Antelope (Or.)

667  SUBJECT USAGE: This name is not valid for use as a subject. Works about this place are entered under Antelope (Or.).

670  City of Antelope website, viewed Dec. 3, 2015: §b (Antelope; City of Antelope; established in 1863 by Howard Maupin; the town was renamed Rajneesh after Mohan Chandra Rajneesh; on Nov. 6, 1985, the town residents voted to rename the town Antelope; the Post Office Department had never changed the name from Antelope)
667 Pseudonyms Not Found … Note

• Used on a personal name authority record when the cataloger knows that a person has used other names in resources, but they are not needed for cataloging at that time
  – If names are later needed, cataloger can create new authority record and edit 667 note

• Names recorded in 667 note are not used as 400s or 500s
667 Example: Edward Gorey

100 1  Gorey, Edward, †d 1925-2000
500 1  †w nnncc †a Dowdy, Regera, †d 1925-2000
500 1  †w nnncc †a Goré, Ædwyrd, †d 1925-2000
663  For works of this author written under other names, search also under:
†b Dowdy, Regera, 1925-2000 †b Goré, Ædwyrd, 1925-2000 ...
667  Pseudonyms not found on published works: Drew Dogyear,
     Wardore Edgy, Raddory Gewe, Roy Grewdead, Redway Grode,
     Dreary Wodge.
670  Contemp. auth., new rev. ser. †b (Gorey, Edward (St. John), b. 2/22/25;
pseuds.: Eduard Blutig, Drew Dogyear, Mrs. Regera Dowdy, Wardore
     Edgy, Raddory Gewe, Roy Grewdead, Redway Grode, O. Mude,
     Edward Pig, E.D. Ward, Ogdred Weary, Dreary Wodge, Dogear Wryde)
3XX FIELDS
Overview of 3XX Fields

- Most provide information identifying the entity represented in the 1XX
  - Excludes field 360 used for complex see also references in subject authority records
- Sometimes information recorded may also be reflected in the 1XX and 4XX fields
- Field 375 (Gender) is no longer used
  - OCLC is removing this field from existing authority records at the request of PCC
3XX Field Examples

• 380 Form of Work
  – 380  Silent film music ±2 lcgft
  – 380  Series (Publications) ±a Monographic series ±2 lcsh

• 377 Associated Language
  – 377  eng ±a jpn

• 368 Other Attributes of Person or Corporate Body
  – 368  Basketball teams ±2 lcsh [in authority record for corporate body]
  – 368  ±b City [in authority record for place]
  – 368  ±c Saint [in authority record for person]
372 Field of Activity (R)

- Field of endeavor, area of expertise, etc., in which the entity is engaged
- May be used in **name authority records** for persons, families, corporate bodies, and conferences (100, 110, and 111)
- May use terms from controlled vocabularies
  - Source specified in ǂ2
- Field is repeated when terms from different vocabularies are used
- Term will not be used in 1XX or 4XX
372 Example: Dušan Repovš

100 1 Repovš, Dušan

372 Mathematics ♯2 lcsh

670 Continuous selections of multivalued mappings, 1998: ♯b CIP t.p. (Dušan Repovš; Dept. of Mathematics, Univ. of Ljubljana, Ljubljana, Slovenia) fwd. (D. Repovš)

100 1 Repovš, Dušan, ♯d 1928-2022

372 Medicine ♯2 lcsh

670 Wikipedija, viewed on November 20, 2023 ♯b (Dušan Repovš; born May 21, 1928 in Ljubljana; died December 26, 2022 in Ljubljana; doctor and health educator; son Dušan Repovš is a mathematician)
374 Occupation (R)

• Profession or occupation for person or family
  – “Family” added to MARC field definition and scope in 2023
• May be used in name authority records for person or families
• May use terms from controlled vocabularies
  – Source specified in ǂ2
• Field is repeated when terms from different vocabularies are used
• Term in 1XX and 4XX may not match 374 because of singular vs plural
### 374 Example: Catherine Schneider

<table>
<thead>
<tr>
<th>100</th>
<th>1</th>
<th>Schneider, Catherine, †c (Pianist)</th>
</tr>
</thead>
<tbody>
<tr>
<td>374</td>
<td></td>
<td>Pianists †a Composers †a Teachers ‡2 lcsh</td>
</tr>
<tr>
<td>670</td>
<td></td>
<td>Her Four excursions, 2004?, c2002: ‡b t.p. (Four excursions for piano solo; Catherine Schneider)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>100</th>
<th>1</th>
<th>Schneider, Catherine, †d 1949-</th>
</tr>
</thead>
<tbody>
<tr>
<td>374</td>
<td></td>
<td>Law teachers †a College teachers ‡2 lcsh</td>
</tr>
<tr>
<td>670</td>
<td></td>
<td>L'Europe et la lutte contre la piraterie maritime, 2015: ‡b title page (sous la direction de Constance Chevallier-Govers et Catherine Schneider)</td>
</tr>
</tbody>
</table>
Reminders

• Use LCCN prefix to verify which authority file the record is in
• The LC Names and Subjects file in WorldCat is a copy of LC’s authority files so there may be differences due to time delays
• ClassWeb Plus contains information about LCSH, LCGFT, and CYAC terms that is not in WorldCat
• PCC members should email LC with authority record questions
• Non-PCC members may email us (see last slide)
For More Information

- Classification and Shelflisting Manual (PDFs)
- Subject Headings Manual (PDFs)
- Descriptive Cataloging Manual Z1: Name and Series Authority Records
- LC Guidelines Supplement to the MARC 21 Format for Authority Data
- MARC 21 Format for Authority Data
Related VAOH Presentations

- March 2021: PCC and OCLC
- May 2021: All Things Authorities
- November 2021: Cataloging Children’s Materials
- May 2023: What’s in a Name? Descriptive Access Points Overview
- September 2023: Get Informed about Genre/Form Terms
On the Call Today

Cynthia Whitacre
Senior Metadata Operations Manager

Bryan Baldus
Senior Data Analyst

Kate James
Program Coordinator Metadata Engagement

Becky Dean
Lead Data Analyst
Thank You!

February Virtual AskQC Office Hours
The Lifecycle of a CIP Record

 Tuesday, 13 February at 10 AM Eastern
 Thursday, 22 February at 4 PM Eastern

Registration and session links available at oc.lc/askqc

Send cataloging policy questions at any time to askqc@oclc.org

Photo by Eric Rothermel on Unsplash