



2010 October 28

Cataloging Defensively: “When to Input a New Record” in the Age of DDR

Jay Weitz

Senior Consulting Database Specialist
WorldCat Quality Management, OCLC

CATALOGING DEFENSIVELY: INTRODUCTION



Cataloging Defensively: Introduction

- When to Input a New Record
OCLC Bibliographic Formats and Standards (BFAS), Chapter 4
<http://www.oclc.org/bibformats/en/input/default.shtm>
- Differences Between, Changes Within: Guidelines on When to Create a New Record
ALA's Association for Library Collections and Technical Services (ALCTS)
<http://www.ala.org/ala/mgrps/divs/alcts/resources/org/cat/differences07.pdf>



Cataloging Defensively: Introduction

Duplicate Detection and Resolution (DDR)

- Original DDR (1991-2005)
 - Dealt with Books format records only
 - 1.6 million Books duplicates merged
- New DDR (developed 2005-2010)
 - Deals with *all* bibliographic formats
 - Began late January 2010, completed “walk through WorldCat” late September 2010
 - 5.1 million duplicates merged
 - Continues to consider new and modified WorldCat records daily
 - Over 1.1 million duplicates merged to date

CATALOGING DEFENSIVELY: BASICS



Cataloging Defensively: Basics

Cataloging Defensively = Cataloging Carefully

- Be sure you search thoroughly
- If you derive a new record from an existing one, be sure that you make all of the changes to the record that convinced you a separate record was justified in the first place
- Be sure that you never change the essential identity of an existing bibliographic record to something else
- Be sure that the coding and tagging are correct and complete
- Be sure to proofread the record



Cataloging Defensively: Basics

Cataloging Defensively = Cataloging Carefully

- Be sure that the record is internally consistent, with coded data corresponding correctly to descriptive data, including:
 - Ctry and 260 subfield \$a
 - Date 1 and 260 subfield \$c
 - Form coding for electronic resources, microforms, Braille, large print, when appropriate
 - 006 to account for additional aspects of a resource, when appropriate
 - 007 correctly reflecting physical characteristics, when appropriate



Cataloging Defensively: Basics

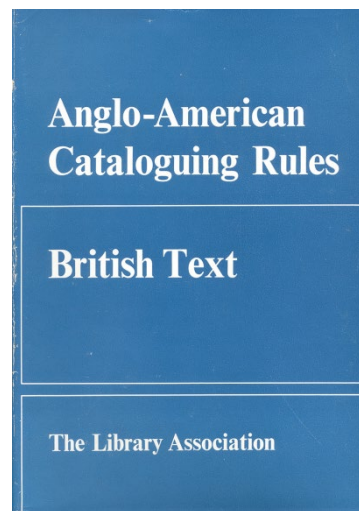
Cataloging Defensively = Cataloging Carefully

- If you are cataloging a non-book resource, be sure that the date of publication does not predate the date of first availability for that medium, for example:
 - Audio compact disc: 1982
 - CD-ROM: 1985
 - DVD-video: 1996
 - Streaming media: 1999
 - Blu-ray Disc: 2006
- <http://www.oclc.org/bibformats/en/2xx/260.shtm>

Cataloging Defensively: Basics

When to Input a New Record: Guidelines for All Formats

- Different Editions
- Analytical Versus Comprehensive Entry
- Cataloging Rules



CATALOGING DEFENSIVELY: EDITIONS



Cataloging Defensively: Editions

- Different Editions: Include an edition statement if one is available
- If no formal edition statement available, but one would be useful, take advantage of AACR2 1.2B4 and corresponding rules in subsequent chapters:

“Optional addition. If an item lacks an edition statement but is known to contain significant changes from other editions, supply a suitable brief statement in the language and script of the title proper and enclose it in square brackets.”

LCRI 1.2B4: “Apply the option for manifest differences where the catalog records would otherwise show exactly the same information in the areas beginning with the title and statement of responsibility area and ending with the series area.”

Cataloging Defensively: Editions

November 2009 Version

008 100422s2009 mdua s f000 0 eng c
006 m d f
007 cr mn|||||||
086 0 Y 3.C 76/3:11-3 N 93/2009
100 1 Chowdhury, Risana T.
245 10 Nursery product-related injuries and
deaths among children age five \$h [electronic
resource]/ \$c Risana T. Chowdhury.
250 Nov. 2009.
260 Washington, DC : \$b U.S. Consumer
Product Safety Commission, \$c [2009]
300 1 online resource (9 p.) : \$b ill.
500 Title from title screen (viewed on Apr.
21, 2010).
500 "November 2009."

February 2009 Version

008 100422s2009 mdua s f000 0 eng c
006 m d f
007 cr mn|||||||
086 0 Y 3.C 76/3:11-3 N 93
100 1 Chowdhury, Risana T.
245 10 Nursery product-related injuries and
deaths among children age five \$h [electronic
resource]/ \$c Risana T. Chowdhury.
250 Feb. 2009.
260 Washington, DC : \$b U.S. Consumer
Product Safety Commission, \$c [2009]
300 1 online resource (9 p.) : \$b ill.
500 Title from title screen (viewed on Apr. 22,
2010).
500 "February 2009."

Cataloging Defensively: Editions

Standard Text

008 091116s2010 nyua b 001 0 eng
020 9780393933499 (pbk.)
020 0393933490 (pbk.)
245 00 Reading the world : \$b
ideas that matter / \$c [edited by] Michael Austin.
250 2nd ed.
260 New York : \$b W.W. Norton & Co., \$c
2010.
300 xxviii, 673 p. : \$b ill. (chiefly col.) ; \$c
24 cm.

Instructor's Edition

008 100913s2010 nyuab b 001 0 eng
020 9780393935127 (Instructor's ed.)
020 0393935124 (Instructor's ed.)
020 9780393933499 (Student ed.)
020 0393933490 (Student ed.)
245 00 Reading the world : \$b
ideas that matter / \$c [edited by] Michael Austin.
250 2nd ed., Instructor's ed.
260 New York : \$b W.W. Norton & Co., \$c
c2010.
300 xxviii, 673, A239 p., [8] p. of plates : \$b
ill. (some col.) ; \$c 24 cm.

Cataloging Defensively: Editions

Unabridged Version

008 070126s2007 nyunnn z eng d
007 sd fungnn|||ee
020 1427201765
020 9781427201768
100 1 Friedman, Thomas L.
245 14 The world is flat \$h [sound recording] : \$b
[a brief history of the twenty-first century] / \$c
Thomas L. Friedman.
250 Unabridged, further updated and
expanded.
260 New York : \$b Audio Renaissance, \$c
p2007.
300 22 sound discs (27 hr.) : \$b digital ; \$c
4 3/4 in.

Abridged Version

008 070828s2007 nyu n z eng d
007 sd fungnn|||ee
020 1427201757
020 9781427201751
100 1 Friedman, Thomas L.
245 14 The world is flat \$h [sound recording] : \$b
[a brief history of the twenty-first century] / \$c
Thomas L. Friedman.
250 Abridged, further updated and expanded.
260 New York : \$b Audio Renaissance, \$c
p2007.
300 7 sound discs (9 hrs.) : \$b digital ; \$c
4 3/4 in.



Cataloging Defensively: Editions

Uncorrected Proof

008 00831s2010 nyu 000 1 eng
100 1 Coyle, Cleo.
245 10 Roast mortem / \$c Cleo Coyle.
250 **Uncorrected proofs.**
260 New York : \$b Berkley Prime
Crime, \$c 2010.
300 viii, 350 p. ; \$c 21 cm.
490 1 A coffehouse mystery
500 Ed. statement from cover.

Published First Edition

008 00831s2010 nyu 000 1 eng
020 9780425234594
020 0425234592
100 1 Coyle, Cleo.
245 10 Roast mortem / \$c Cleo Coyle.
250 **1st ed.**
260 New York : \$b Berkley Prime
Crime, \$c 2010.
300 viii, 350 p. ; \$c 21 cm.
490 1 A coffehouse mystery



Cataloging Defensively: Editions

Geographic Coverage

008 81006s2006 enk 000 p eng d

020 9781844364459

020 1844364453

245 00 Flight of fancy / \$c [edited by
Lynda Brennan].

250 [South East Counties of England ed.](#)

260 London : \$b United Press, \$c 2006.

300 127 p. ; \$c 21 cm.

008 81023s2006 enk 000 p eng d

020 9781844364428

020 1844364429

245 00 Flight of fancy / \$c [edited by
Lynda Brennan].

250 [North East of England ed.](#)

260 London : \$b United Press, \$c 2006.

300 122 p. ; \$c 21 cm.

Cataloging Defensively: Editions

Spanish Edition

008 091109s2009 sp a 000
0bspa d
020 9788434312203
020 8434312204
100 1 Wilson, Sarah, \$d 1956-
245 10 Henri Matisse / \$c Sarah Wilson.
250 [Spanish ed.]
260 Barcelona : \$b Polígrafa, \$c 2009.
300 96 p. : \$b col. ill. ; \$c 28 cm.
546 Text in Spanish.
500 English ed. also available.

English Edition

008 090528s2009 sp a 000
0beng
020 9788434312197 (hbk.)
020 8434312190 (hbk.)
100 1 Wilson, Sarah, \$d 1956-
245 10 Henri Matisse / \$c Sarah Wilson.
250 [English ed.]
260 Barcelona : \$b Polígrafa, \$c 2009.
300 95 p. : \$b col. ill. ; \$c 29 cm.
546 Text in English.
500 Spanish ed. also available.

Cataloging Defensively: Editions

Full-Screen

•008 090910s2009 cau115 vleng d
007 v \$b d \$d c \$e v \$f a \$g i \$h z \$i q
•020 1419879006
020 9781419879005
041 1 eng \$a fre \$a spa \$j eng \$j fre \$j spa \$h
eng
245 00 Terminator salvation \$h [videorecording] /
\$c Warner Bros. Pictures ; The Halcyon Company ;
Victor Kubicek and Derek Anderson present a
Moritz Borman production in association with
Wonderland Sound and Vision, a McG film ;
produced by Moritz Borman, Jeffrey Silver, Victor
Kubicek, Derek Anderson ; written by John
Brancato & Michael Ferris ; directed by McG.
250 Full-screen ed.
260 Burbank, CA : \$b Distributed by Warner
Home Video, \$c c2009.
300 1 videodisc (115 min.) : \$b sd., col. ; \$c
4 3/4 in.
538 DVD; Region 1; full screen (1.33:1); Dolby
Digital 5.1 surround, dual-layer.
546 English; dubbed French or dubbed Spanish
dialogue; English, French or Spanish subtitles;
English subtitles for the deaf and hard of hearing.

Widescreen

•008 090910s2009 cau115 vleng d
•007 v \$b d \$d c \$e v \$f a \$g i \$h z \$i q
•020 1419873997
•020 9781419873997
•041 1 eng \$a fre \$a spa \$j eng \$j fre \$j spa \$h
eng
•245 10 Terminator salvation \$h [videorecording] /
\$c the Halcyon Company presents a Moritz Borman
production in association with Wonderland Sound
and Vision, a McG film ; produced by Moritz
Borman, Jeffrey Silver, Victor Kubicek, Derek
Anderson ; written by John Brancato & Michael
Ferris ; directed by McG.
•250 [Widescreen].
•260 Burbank, CA : \$b Distributed by Warner
Home Video, \$c c2009.
•300 1 videodisc (115 min.) : \$b sd., col. ; \$c 4
3/4 in.
•538 DVD; Region 1; widescreen (letterbox,
enhanced); Dolby Digital 5.1 surround, dual-layer.
•546 English; dubbed French or dubbed Spanish
dialogue; English, French or Spanish subtitles;
English subtitles for the deaf and hard of hearing.

CATALOGING DEFENSIVELY: WHOLE AND PART



Cataloging Defensively: Whole and Part

Analytical Versus Comprehensive Entry

“A record for a multipart item or serial and records for their individual parts or issues may coexist. If a record for an item as a whole exists, you can create a record for a part and vice versa. This includes the legitimately separate records for musical scores, parts, and scores and parts.”

- Differentiate the whole from the part
- Differentiate one part from another part
- Notated Music: Separate records for:
 - Score
 - Part(s)
 - Score and part(s)



Cataloging Defensively: Whole and Part

Common Title Proper Alone

245 00 Live from Austin TX \$h
[videorecording] / \$c Neko Case ; New
West Records presents in association with
Austin City Limits and KLRU ; executive
producer, Dick Peterson ; producer Terry
Lickona ; director, Gary Menotti.

246 3 Live from Austin Texas

246 3 Neko Case live from Austin TX

260 Los Angeles, CA : \$b New West
Records, \$c p2006.

300 1 videodisc (50 min.) : \$b sd., col. ;
\$c 4 3/4 in.

Title Proper With Part Title

245 00 Live from Austin TX. \$p Neko Case
\$h [videorecording] / \$c New West Records
presents in association with Austin City
Limits and KLRU ; executive producer, Dick
Peterson ; producer Terry Lickona ;
director, Gary Menotti.

246 30 Neko Case

246 3 Live from Austin Texas. \$p Neko
Case

246 3 Neko Case live from Austin TX
•260 Los Angeles, CA : \$b New West
Records, \$c p2006.

300 1 videodisc (50 min.) : \$b sd.,
col. ; \$c 4 3/4 in.

Cataloging Defensively: Whole and Part

Common Title with Volume Number

008 100809s2009 nyua b 001 0 eng
020 9781606928615
020 1606928619
245 00 [Horizons in world physics.](#) \$n
[Volume 268](#) / \$c Michael Everett
and Louis Pedroza, editors ;
[contributors, Andrzej Pawlak ... et al.].
260 New York : \$b Nova Science
Publishers, \$c c2009.
300 xii, 318 p. : \$b ill. (some col.) ; \$c
26 cm.

008 040812s2004 nyua b 001 1 eng d
020 1590339274
020 9781590339275
245 00 [Horizons in world physics.](#) \$n
[Volume 244](#) / \$c Albert Reimer, editor.
260 New York : \$b Nova Science
Publishers, \$c c2004.
300 x, 325 p. : \$b ill. ; \$c 26 cm.

Cataloging Defensively: Parallel Titles

Bengali/English

008 011121s2000 enka j 000 1 ben
d
100 1 Lanchais, Aurélie.
245 10 *Āmi ki? = \$b What am I? / \$c
Aurelie Lanchais, Alain Crozon ; English
text by Sarah Hamp, Bengali text by Kanai
Datta.*
246 31 What am I?
250 Dual language edition.
260 London : \$b Milet, \$c 2000.
300 1 v. : \$b col. ill. ; \$c 28 cm.
500 First published in France by
Editions du Seuil as *Qu'est-ce que c'est?*
546 In Bengali and English.

French/English

008 00714s2000 enk j 000 0 fre
100 1 Lanchais, Aurélie.
245 10 *Qu'est-ce que c'est = \$b What
am I? / \$c \$c Aurelie Lanchais, Alain
Crozon.*
246 31 What am I
260 London : \$b Milet, \$c 2000.
300 16 p. : \$b chiefly col. ill. ; \$c
28 cm.
546 Parallel text in French and
English.

Cataloging Defensively: Whole and Part

Score and Parts

008 090901s2005 pauua n zxx d
100 1 Higdon, Jennifer, \$d 1962-
245 13 An exaltation of larks / \$c Jennifer Higdon.
260 [Philadelphia, PA] : \$b Lawdon Press, \$c c2005.
300 1 score (38 p.) ; \$c 28 cm. + \$a 4 parts ; \$c
34 cm.
500 For string quartet.
650 0 String quartets \$v Scores and parts.

Score Alone / Parts

008 090626t20072005pau a n zxx c
100 1 Higdon, Jennifer, \$d 1962-
245 13 An exaltation of larks / \$c Jennifer Higdon.
260 [Philadelphia] : \$b Lawdon Press, \$c c2005.
300 1 score (38 p.) ; \$c 28 cm.
500 For string quartet.
650 0 String quartets \$v Scores.

008 100430s2005 pauuae n zxx d
100 1 Higdon, Jennifer, \$d 1962-
245 13 An exaltation of larks / \$c Jennifer Higdon.
260 [Philadelphia : \$b Lawdon Press?], \$c c2005.
300 4 parts ; \$c 33 cm.
500 For string quartet.
650 0 String quartets \$v Parts.



Cataloging Defensively: Cataloging Rules

“Differences resulting from changes in cataloging rules do not justify a new record.”

- OCLC Policy Statement on RDA Cataloging in WorldCat for the U.S. Testing Period
- <http://www.oclc.org/us/en/rda/policy.htm>
 - “If a record created according to either AACR2 or RDA already exists in WorldCat, please do NOT create a duplicate record according to the other code.”

Cataloging Defensively: Questions

Thank you for your kind attention

askqc@oclc.org



- When to Input a New Record
OCLC's Bibliographic Formats and Standards, Chapter 4
<http://www.oclc.org/bibformats/en/input/default.shtml>
- Differences Between, Changes Within: Guidelines on When to Create a New Record
<http://www.ala.org/ala/mgrps/divs/alcts/resources/org/cats/differences07.pdf>

Thank You

Jay Weitz

Senior Consulting Database Specialist
WorldCat Quality Management, OCLC

jay_weitz@oclc.org

**Because
what is
known must
be shared.SM**