



## OpRiskControl® : Release Notice

Version: 5.2.0

Date of Release: 8<sup>th</sup> January 2015

Document Date: 8<sup>th</sup> January 2015

## OpRiskControl® Release Notice – Version 5.2.0

**Note:** This release of OpRiskControl is supported by .NET Framework 4.5.2 and ASP.Net 4.

This is a General Release of OpRiskControl following improvements to the software.

### Enhancements

S2915, S2908,  
S2854, S2853,  
S2852, S2851,  
S2850, S2849,  
S2848, S2847,  
S2846

Risks and Actions can now have one or more Categories assigned.

The screenshot shows a risk entry form with the following fields:

- Risk Status: Open
- Item ID: 46
- Other Ref No:
- Title: A Substantial Financial Loss from Interest Rate Changes
- Description: Despite the hedging controls/actions in place, we suffer a loss from changes in interest rates.
- Categories: Knowledge Management, Operational Processing, Other, People, Regulatory Risk
- Cause: Changes in government policy.
- Existing Controls:
- Early Warning Trigger:
- Action Strategy:

Categories are set up in the Reference Data and are selected from a pop-up dialog. Categories can also be used to refine a search of Risks.

The screenshot shows the Risk Search page with the following filters:

- Division: All Divisions
- Function: All Functions
- Risk Type: All Types
- Location: All Locations
- Department: All Departments
- Project: All Projects
- Event Type: All Events
- Categories: All Categories
- Asset: All Assets
- Class: All Class
- Status: All Status
- Owner: All Owners

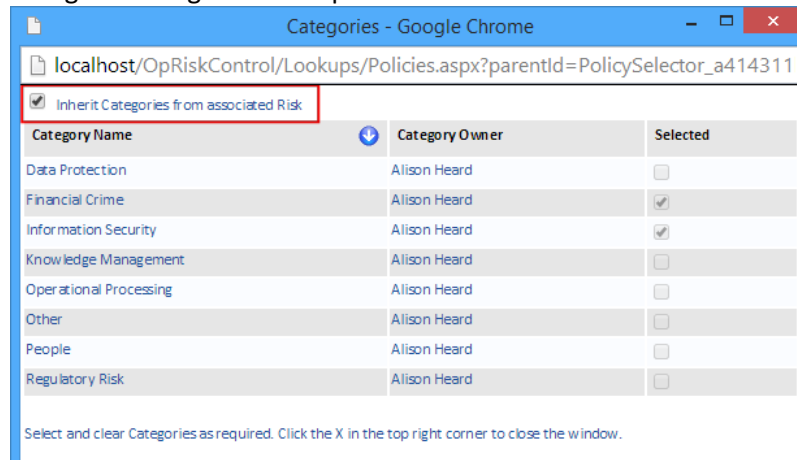
Buttons: Clear, Search, New Risk

Advanced Filter, Hide Filter, 54 records selected

S	A	R	T	Title	Likelihood	Consequence	Rating	Residual Consequence	Residual Likelihood	Residual	Reviewed	
10	10	10	10	A Substantial Financial Loss from Interest Rate Changes	Almost certain	Moderate	High	Minor	Rare	Insignificant	01/05/2014	View Delete
10	10	10	10	Asset Audit Log reveals missing assets	Rare	Major	Low	Major	Rare	Low		View Delete
10	10	10	10	Clear Corporate Priorities	Unlikely	Moderate	Medium	Insignificant	Rare	Insignificant		View Delete

## Enhancements

It is possible to configure whether an Action associated with a Risk inherits the Categories assigned to the parent Risk.



Also, an administrator can configure a list of Categories which are assigned to new Risks.

It is also possible to log all changes made to a Risk or an Action's Categories.

Categories are included in the following reports: Risk List report, Action Status report, Risk Detail report and the Executive Risk Summary report.

S2802

OpRiskControl is now supported by Microsoft.NET Framework 4.5.2 and ASP.Net 4.

S2905

When a Risk is being viewed, and the Action tab is selected to view the Actions associated with the Risk, an Action's *Control Effectiveness* can now be displayed on the grid. A setting in the website configuration file allows the Control Effectiveness to be shown on the Action Grid. The default visibility is for the Control Effectiveness to be hidden. The field is not editable and so it will be read-only even in *edit* mode.

## Error Corrections

CRM61758, S2914

The Sort Column setting for the Executive Summary report did not sort the Risks as expected.

S3007

Under certain conditions, when a Risk action was overdue and notifications were enabled, the notification email was not sent.

S2949

When a Risk search was performed and the *New Risk* button (beside the *Clear* and *Search* buttons) was clicked, an error was displayed.

S2910

The OpRiskControl Service had an Intermittent fault which resulted in a failure to start correctly.

S2831

Under certain conditions, when a Risk was created using a Department which was linked to a Division, an error was displayed when the user attempted to edit the Risk.

S2816

When the *Log Overall Effectiveness* option was selected and a user created a new Risk using a Custom Form, an error was displayed when the user tried to save the Risk.

S2364

When upgrading to v5.1.0.0, the *Log Control Effectiveness* value was set to blank, instead of to *True* or *False*. This caused an error to be displayed.

S2980

When a Risk which had a Document attached to it was deleted, an error was displayed.

## Error Corrections

- S2960 It was not possible to include HTML tags on the Content Page.
- S2828 When the *Contact* or *Mandatory* fields were left blank and a new Risk was saved, an error message was displayed. Now, when these fields are left blank and the new Risk is saved, a message is displayed indicating these fields are mandatory.
- S1776 When a Risk was replicated, the new Risk was shown with the same Risk ID as the original Risk. Now, when a Risk is replicated, the ID of the new risk is blank until the Risk is saved. It is then assigned an ID.