	OpRiskControl® : Release Notice **Version: 5.3** **Date of Release: 4th June 2015** **Document Date: 4th June 2015**

OpRiskControl® Release Notice – Version 5.3

Note: This release of OpRiskControl is supported by .NET Framework 4.5.2 and ASP.Net 4.

This is a General Release of OpRiskControl following improvements to the software.

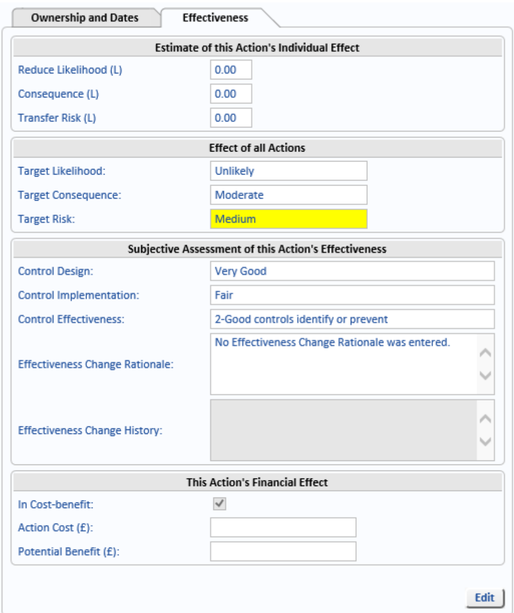
Enhancements

CRM60305, S2215 — Risk and Incident reports can now be exported to Microsoft Excel *.xlsx* Workbook format as well as Microsoft Excel *.xls* 97-2003 Workbook format.

Effectiveness Change Rationale (OpRiskControl)

S3312 — The *Effectiveness Change Rationale* field has been added to OpRiskControl to enable users to enter the reason for changing the *Control Effectiveness*.

The *Effectiveness Change History* field has also been added to record all changes to the Rationale. This field shows changes to the *Effectiveness Change Rationale* over time with the newest changes first.

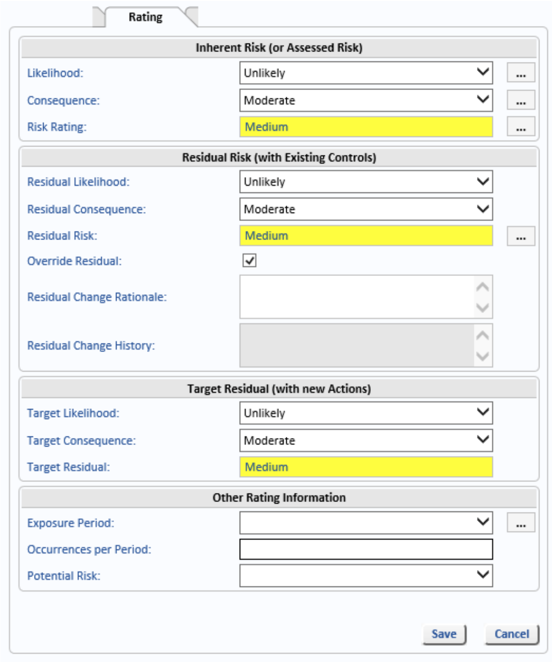


S3314, S3318 — The *Effectiveness Change Rationale* can be viewed on the *Executive Risk Summary Report* and on the *Action Status Report*.

Residual Change Rationale (OpRiskControl)

S3313

The *Residual Change Rationale* has been added to OpRiskControl to enable users to enter the reason for changing the *Residual Risk Rating*.



The *Residual Change History* field has also been added to record changes to the Rationale. This field shows changes to the *Residual Change Rationale* over time with the newest changes first.

S3315, S3316, S3317

Users can view the *Residual Change Rationale* on the *Executive Risk Summary* Report, the *Risk List* Report and on the *Action Status* Report.

Test Result (OpRiskControl)

S3234, S3237, S3236

It is now possible to keep a history of the test results for Risk Actions. These test results allow the user to record how effective the Action is. In addition, when the Test Results are updated, the previous Test Results are written to the Test Results History field so all Test Results can be reviewed.

S3241, S3240, S3238, S3235

The Test Results can be viewed in the following:

- Risk Action Plan
- Action Status Report
- Executive Risk Summary Report
- A Risk's Action List

Action Progress (Incident Manager)

S3236	It is now possible to document the progress of an Action. The *Action Progress* field allows the user to record how effective the Action is. In addition, the *Action Progress History* field has been added to Incident Manager. This field shows changes to the *Action Progress* over time with the newest changes first.
S3241, S3240, S3238, S3235	The *Action Progress* can be included in the following: • Incident Action Plan • Action Status Report • Executive Incident Summary Report • An Incident's Action List

Other

S3065	A tick box has been added to the *Setup* tab to enable the administrator to show or hide the *Home* page.
S3020	For OpRiskControl, the logging of problems and email notifications to the file *OpRiskControlNotificationManagerLog.txt* has been improved. Exceptions now capture more error messages.
S3262	When linking an Incident to a new Risk, it is now possible to specify whether to create a Risk using the tabbed form or a custom form.
S3252	Two options have been added to Incident Manager's *Workflow* tab to allow the administrator to hide *Incident Lookups* and *Action Lookups*.

Error Corrections

CRM64754, S3437	When a user's name was changed in OpRiskControl, the old name was still shown when changing the user's RBS permissions.
CRM63792, S3295	It was not possible to edit custom forms when field names exceeded 50 characters.
S3399	When the *Action Status Report* was run and the option *Group By* was selected with the field *Closed By*, an error was displayed.
S3339, S3251	Misspellings in the UI have been corrected.
S3333	When the Help was opened and a term was searched for in the Help, each topic was identified as *user guide*. This problem has now been fixed and, instead of *user guide*, the name of the Help topic containing the text is displayed.
S3328	It was possible to disable Standard Incident forms and Tabbed Risk forms (on the *System Settings* page) when not every Risk or Incident had been assigned a Custom Form. This caused an error to be displayed. It is no longer possible to disable Standard or Tabbed forms until every Risk or Incident Type has been assigned a Custom Form.

Error Corrections

S3325	An error message was displayed when the user clicked on the *Action* tab of a tabbed Risk when Tabbed Forms were disabled in *System Settings*. This problem was also seen when the user clicked on the *Action* tab of a standard Incident when Standard Forms were disabled in *System Settings*.
S3324	The option *Data Form Entry* on the *Content* page was not required and has been removed.
S3302	When HTML tags were used in Risk and Action fields, the appropriate History included the HTML tag.
S3249	The Alert *Update to Stakeholder* contained the wrong default information.
S3245	When either documents or Stakeholders were added, edited or removed from a Risk or Incident, information was not logged to the history. The creation of an Action was not logged against an Incident or Risk unless the *Log action history to Incident Form/Log action history to Risk Form* option was selected. Also, there was no log to record that an Action had been deleted from the Incident or Risk.
S3239	When searching for Risks and Incidents, users who had not yet been assigned as the owner of a Risk or Incident could not be selected in the Owner search filter.
S3225	The *All* buttons on the Alerts sub-tabs were not required and have been removed.
S3167	Under certain conditions, the display of the search fields on the *Manage Risk Data* and *Manage Incident Data* pages would become corrupted.
S3151	When the Recurrence/Likelihood fields were edited or the Consequence/Severity fields were edited, the change was logged on the History tab. However, the date field for these logs showed the *Date Reviewed*, rather than the date the change was made.
S3145	When an Action (for either a Risk or an Incident) was *due*, the word *Due* was not displayed in red beside the date box. Also, when the Action was *overdue*, the background colour of the date box was not displayed in orange.
CRM58822, CRM59990, S2055	The Administration Role Option *Super User Edit or Delete any Risk/Incident* did not allow a user to delete any Risk or Incident.
S3110	The *General* tab on the *System Settings* tab now has a consistent look for OpRiskControl and Incident Manager.

OpRiskControl Error Corrections

CRM63739, S3284	Creating a new user with an apostrophe in the email address caused an error.
CRM60408, S2257	Sorting a Risk report by the *Custom Text* field did not produce the expected sort order.

Error Corrections

CRM20758, S3296	Within the Chrome browser, the tabs *Role Permissions*, *Role Users* and *Print Permission List* were missing a file icon.
S3438	When editing a Risk which was linked to an Incident, the *Incidents* button remained visible on the Risk form. The *Incidents* button is now hidden while the Risk is being edited.
S3355	Under certain conditions, a warning message was displayed indicating the *Control Design* field and the *Control Implementation* field were mandatory when *Activate Control Effectiveness* was cleared. Also, under certain conditions, when *Activate Control Effectiveness* was selected, a warning message was displayed indicating the *Control Effectiveness* field was mandatory. This was incorrect, as the *Control Effectiveness* drop-down is disabled when *Activate Control Effectiveness* is selected. When a tabbed Risk was created and only the *Control Design* field was completed, the Risk could not be saved and no warning was displayed. The warning message '*If Control Design field is used, then Control Implementation field is mandatory'* is now displayed.
S3343	On Custom Forms, when *Activate Control Effectiveness* was cleared, the fields *Control Design* and *Control Implementation* were displayed but no values could be set. In this scenario, the fields *Control Design* and *Control Implementation* are no longer displayed.
S3342	When using a tabbed form, overriding the *Residual Consequence* and/or the *Residual Likelihood* fields and then cancelling the action caused the text box to be hidden.
S3306	Under certain conditions, when a Custom Form was created and used to create a Risk and then a Risk was created using the Tabbed Form, when the Tabbed Form Risk was edited, an error was displayed.
S3304	When setting up the fields for a Risk on a Custom Form, duplicate field names were displayed and the row style which displayed alternate rows in different colours was corrupted.
S3215	When a Risk Search was performed and no Risks were returned, if the user then selected the Report Wizard tab and tried to generate a Risk Detail report, an error was displayed.
S3157	When using the Chrome browser, when the Analysis tab was selected and the calculations page was displayed, if the page was then maximised and then closed, an error was displayed.
S3133	On a Custom Risk Form, the *Date Reviewed* and *Date Next Review* fields were not colour-coded as expected. For example, overdue dates were *not* displayed with a red background.
S3129	It was not possible to select the *Alert if Date Due* checkbox for an Action.

Error Corrections

S3019	When the Update and Reminder *Owner that Action Completed* was configured, the expected email was not sent.
S3018	The *Update and Reminder* '*Owner assigned'* did not send the expected email.
S3017	When the *Alert User* option was cleared and the *Alert Notification Monitor* option was selected for *Owner assigned* on the *Updates and Reminders* page, when an Owner was assigned to a Risk the email was not sent.
S3015	On the *Updates and Reminders* pages for *Contact assigned*, when the *Alert User* option was cleared and the *Alert Notification Monitor* option was selected, when a Contact was assigned to a Risk the email was not sent.
S3014	When the *Alert User* option was selected for *Contact assigned* on the *Updates and Reminders* page, when a new contact was assigned to a Risk the contact did not receive the expected email.
S3013	Under certain conditions, when the *Alert User* option was selected for *Stakeholder assigned* on the *Updates and Reminders* page, when a new Stakeholder was assigned to a Risk, the Stakeholder did not receive the expected email and an error was displayed.
S3011	*Personal reminders* did not work as expected and have been removed from OpRiskControl.
S2984	When creating a new user group by selecting the *Manager* tab, then selecting *Users* and then selecting the *User Groups* tab, an error was displayed if the user group name included an apostrophe.
S2981	On the *User Import* tab, when the *Import Frequency* was changed to *Off* in *Edit* mode and then the *Cancel* button was clicked instead of the *Save and Import* button, an error was displayed.
S2973	In OpRiskControl, when an Incident was edited and the *Time of Loss* field was edited on the *Loss* tab, the time was not saved and the time was reset to 00:00.
S2965	An error was displayed when a Custom Risk or Action was opened after changing the *Culture* on the *System Settings, General* tab.
CRM62376, S2948	When using the Chrome browser, an error was displayed when the user clicked the *Use Value* button in the *Scenario Analysis* dialog.
CRM57911, S1987	When the *Risk Detail Report* was viewed and sorted by the *Risk Event* column, an error was displayed.
S1821	When a Stapled Risk was included in the *Risk List Report* and the *Group By* option was used while setting up the report, an error was displayed.
S1748	When the *Stakeholder* tab was hidden, it would be displayed when a Risk's *Analysis* tab was selected.
S1722	When the option *Allow Classification* was *cleared*, the *Classification* field on the *Context* tab was *not* hidden.

Error Corrections

S1709	When the link *My Form Settings* was selected and the options *Hide Controls and Actions Tab* and *Allow Custom Text Fields* were selected, when the changes were saved and the *Custom Fields* tab was selected, an error was displayed.
S1665	When the *Residual Rating* was not set to be automatically calculated, the target field values should have been the same as the residual field values, but weren't.
S1620	Some options on the *System Settings*, *Reporting* tab have been re-worded to clarify their operation.
S1589	When charts were created and sorted, when these charts were printed, they were not printed in the sort order displayed on the screen.
S1586	The setting for the maximum number of failed login attempts was ignored and users were allowed to try to log in after this number was exceeded.

Incident Manager Error Corrections

CRM63791, S3294	It was not possible to add the *Rating* field to a Custom Form.
S3420	On the Executive Incident Summary Report, when Action Categories were added to the report, the column was incorrectly labeled *Policies*.
S3357	The Incident Manager Help did not include the topic describing how to set up a Custom Form.
S3349	On the *System Settings* tab, on the *Workflow* tab, some Notification Manager settings have been renamed to be consistent with the equivalent settings in OpRiskControl.