

OpRiskControl® Release Notice – Version 5.5.0

This is a General Release of OpRiskControl following improvements to the software.

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Enhancements

OpRiskControl and Incident Manager

S2133 OpRiskControl and Incident Manager can now be installed on Microsoft SQL Server 2014.

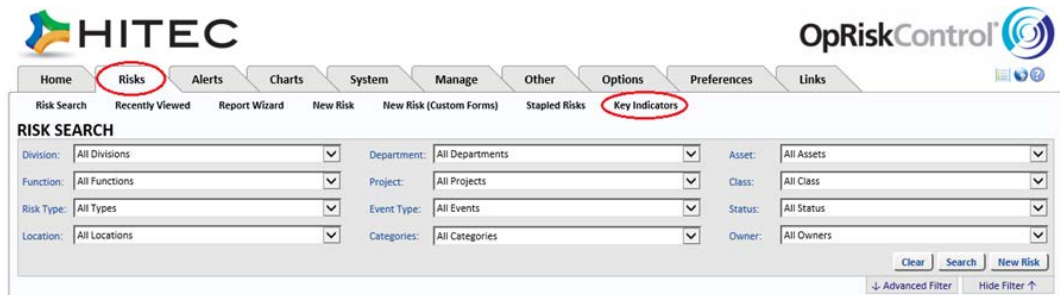
S4729 OpRiskControl and Incident Manager supports Microsoft Office 2016.

OpRiskControl Key Indicators

The following enhancements have been added to *Key Indicators*:

- The capability to record additional information against Key Indicators.
- The ability to assign ownership and search for Key Indicators.
- A new monthly Key Indicator email notification.
- Key Risk Indicator information can now be linked and seen from a Risk.
- The ability to link and see Key Control Indicator information from a Control.
- Key Indicator information is now included on the Risk Search page.
- New Key Indicator reporting.

S4164 *Key Indicators* has been moved from the *Options* tab to the *Risks* tab.



- S3920 *Key Indicators* statuses; *Below Target*, *On Target* and *Above Target* are now colour coded and replace the previous *OK* and *Target Missed* statuses.
- S3921 Additional columns have been introduced in the *Key Indicators* page for; *Owner*, *Type* (Risk, Control or Performance), *Link* and *Operator*. An additional column designated *Status Commentary* has also been added. The *Status Commentary* displays the most recent results.
- S3922, S4261, S4260 Introduction of a *Yes / No* value in the *Target Type* column in addition to the *Key Indicator Numeric* values for *Key Indicators*.
- S3923, S4259 Filters have been added on the *Key Indicators* page to search any combination of *Owner*, *Type* or *Status*.
- S3925, S3924 It is now possible to limit editing of a *Key Indicator* to the *Owner* if the *Limit Edit of Key Indicators to Custodian* is set.
- S3928, S3933, S3932, S3931, S3927, S3926, S4387 *Key Risk Indicators* can be linked to a *Risk* either by using the *Link* selection on the *Key Indicator* page or from the *Key Risk Indicator Selector* page.
Key Control Indicators can be linked to a *Control* by creating a new *Key Control Indicator* in the *KCI* page, or by navigating to the *KCI* page and selecting the *Key Control Indicator*.
A *Primary Key Indicator* can be assigned to a *Key Risk Indicator* and it will then display in the *KRI Status* column (Providing the *KRI Status* column is set to visible) on the selected risks page. Similarly, a *Primary Key Indicator* can be assigned to a *Key Control Indicator* and it will then display in the *KCI Status* column (Providing the *KCI Status* column is set to visible) on the selected control page.
- S3929 The *Key Indicator Detail* page layout has been enhanced. Previously the periods were shown in a horizontal layout. They are now displayed in a vertical arrangement so that periodic *Values* and a *Status Commentary* can be entered.
- S3930, S4272 Email messages can now be configured and sent on the first day of the month to remind owners to update *Key Indicators*. The Email notification can be turned on or off, as desired.
- S4161 *Key Indicator* information is now available in the *Executive Summary Report*.
- S4162 The *Risk Detail Report* now includes the following additional *Key Risk Indicator* and *Key Control Indicator* data:
- Name.
 - Owner.
 - Measure.
 - Target.
 - Status.
 - Trend.
- S4163 A new *Key Indicator Detail Report* has been added to the *Risks* tab under *Report Wizard*.

S4252 An *Activate Key Indicator* selection has been added in *System, System Settings, Options* tab to turn on Key Indicator functionality.

OpRiskControl Risk Appetite

S4448, S4447, A new *Risk Appetite* function has been introduced with the following capabilities:

S4445, S4444,
S4449

- Ability to set the *Risk Appetite* against a *Risk Event*.
- Aggregate a current position for each *Risk Event*.
- Aggregate a future position for each *Risk Event* with an override capability.
- Capture additional information against each *Risk Event*.
- New Risk Appetite reporting.

Error Corrections

OpRiskControl

CRM67549,
S3743 Change in behavior correction. Previously the *Owner* drop down list only displayed users who had been assigned as a Risk Owner to one or more risks. The *Owner* drop down list now only shows users who own one or more risks.

CRM71161,
S4507 During certain conditions an unexpected error message was displayed when multiple users were performing parallel actions in the system.

S4202 Previously when editing or adding data to a *Key Indicator* and selecting the *Enter* key to submit the data, the data was not saved.

S4212 When the values in the *Key Indicator* page are deleted the values now update automatically in the *Current, Previous and Trend (%)* columns.

S4224 Improved error message if more than 16 digits are entered when editing the value of a *Target* in the *Key indicators* page.

S4226, S4254 Only the first page of the *Key Indicators* screen (with multiple page), was printed when the *Print* button was selected.

Error Corrections

OpRiskControl and Incident Manager

- CRM65658, CRM69441, S3543 The background colours of the Risk and Incident Dashboard Matrices now print as expected.
- CRM69487, S4099 Correction to *Custom Forms with Custom Fields* enabled so that it is now fully translatable.
- CRM69906, S4204 The *Action Status* field now remains active after inputting a completed date for a recurring action when editing via the Action Grid.
- S4441 Previously, an unexpected error message occurred when a user with *Limit Edit of Action to Custodian* permissions tried to edit an action that had no owner assigned.
- S4597 A user could previously edit an Action, where the user was not the Action owner. Editing is now limited to the Action owner when the *Limit Edit of Action to Custodian* permission is assigned.

Incident Manager

- CRM65666, S3729 Previously, the *Incident Cause Summary* report had multiple rows for each cause. The report now has a single row for each individual cause.
- S4271, S4642 *Key Risk Indicators* instances have been removed from the local and global translator.
- S4605 When selecting the *Print* button in the *Incident* dashboard, the browser print dialogue did not display.